



**Date: June 26, 2021**

**Ref:-IGFL/21-22**

**To,  
The Department of Corporate Services  
The BSE Limited  
Phiroze Jeejeebhoy Towers  
Dalal Street  
Mumbai Samachar Marg  
MUMBAI - 400001**

**The Department of Corporate Services  
Calcutta Stock Exchange Limited  
7, Lyons Range  
Murgighata, Dalhousie  
Kolkata - 700001**

**Sub: Certificate under Regulation 24A of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015**

**Scrip Code: BSE Scrip Code 511391 & CSE Scrip Code 10019038**

Dear Sir/Madam,

Pursuant to Regulation 24A of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 we are enclosing herewith the Annual Secretarial Compliance Report duly signed by the Secretarial Auditor for the year ended 31<sup>st</sup> March, 2021.

You are requested to take the same on record.

**Thanking You,  
Yours Faithfully,**

For Inter Globe Finance Limited  
  
Pritha Beriwal  
(Company Secretary)

**CIN: L65999WB1992PLC055265**

**Aloka House 1<sup>st</sup> Floor 6B Bentinck Street, Kolkata - 700001(India)  
P. 91 33 2262-1971/91 33 2243-0271/F. 91 4066-1036/E. [info@igfl.co.in](mailto:info@igfl.co.in)/W. [www.igfl.co.in](http://www.igfl.co.in)**

**Secretarial Compliance Report of Inter Globe Finance Limited for the year ended  
31<sup>st</sup> March, 2021**

I Isha Bothra, Practicing Company Secretary, have examined:

- i. all the documents and records made available to us and explanation provided by Inter Globe Finance Limited (“the listed entity”),
- ii. the filings/ submissions made by the listed entity to the stock exchanges,
- iii. website of the listed entity,
- iv. any other document/ filing, as may be relevant, which has been relied upon to make this certification, for the year ended 31st March, 2021 (“Review Period”) in respect of compliance with the provisions of:
  1. the Securities and Exchange Board of India Act, 1992 (“SEBI Act”) and the Regulations, circulars, guidelines issued thereunder; and
  2. the Securities Contracts (Regulation) Act, 1956 (“SCRA”), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India (“SEBI”);

The specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include:-

- (a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- (b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018;
- (c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
- (d) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018;
- (e) Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014;
- (f) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008;
- (g) Securities and Exchange Board of India (Issue and Listing of Non- Convertible and Redeemable Preference Shares) Regulations, 2013;
- (h) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;

Based on the above examination, I hereby report that, during the Review Period:

(a) The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued thereunder, except in respect of matters specified below:-

S.No	Compliance Requirement (Regulations/Circulars/Guidelines including specific clause)	Deviations	Observations/Remarks of the Practicing Company Secretary
NIL			

(b) The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued thereunder insofar as it appears from my/our examination of those records.

(c) The following are the details of actions taken against the listed entity/ its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ Regulations and circulars/ guidelines issued thereunder:

S. No.	Action taken by	Details of violation	Detailsof actiontaken, E.g. fines,warning letter,debarment, etc.	Observations/Remarks of thePracticingCompany Secretary, if any.
1.	BOMBAY STOCK EXCHANGE LIMITED	Regulation 23 (9)  Non-compliance with disclosure of related party transactions on consolidated basis.	Total Fine payable- Rs. 2,12,400/-	The Listed Entity has made delay in submission of this Report to the Stock Exchange. Henceforth it has paidpenalty of Rs.1,94,400/- after deduction of TDS of Rs.18,000 on 29.01.2021.
2.	BOMBAY STOCK EXCHANGE LIMITED	Regulation 13(1)  Failure to ensure that adequate steps are taken for expeditious redressal of investor complaints.	Total Fine payable- Rs. 7,080/-	The Listed Entity has made delay in submission of this Report to the Stock Exchange. Henceforth it has paid penalty ofRs. 7,080/- on 03.09.2020.
3.	BOMBAY STOCK EXCHANGE LIMITED	Regulation 31  Non-submission of shareholding	Total Fine payable- Rs. 16,520/-	The Listed Entity has made delay in submission of this Report to the Stock

		pattern within the period prescribed.		Exchange. Henceforth it has paid penalty of Rs. 16,520/- on 03.09.2020.
4.	BOMBAY STOCK EXCHANGE LIMITED	Regulation 27(2) Non-submission of the Corporate governance compliance report within the period provided under this regulation	Total Fine payable- Rs. 35,400/-	The Listed Entity has made delay in submission of this Report to the Stock Exchange. Henceforth it has paid penalty of Rs. 35,400/- on 03.09.2020.

(d) The listed entity has taken the following actions to comply with the observations made in previous reports:

S.No.	Observations of the Practicing Company Secretary in previous reports	Observations made in the secretarial compliance report for the previous year	Actions taken by the listed entity, if any	Comments of the Practicing Company Secretary on the actions taken by the listed entity
NOT APPLICABLE				

Place: Kolkata  
Signature:  
Date: 17/06/2021  
UDIN: A027531C000477387

**ISHA** Digitally signed by ISHA  
**BOT** Name of the Practicing Company Secretary  
**HRA** ISHA BOTHRA  
Date: 2021.06.17  
ACS No. A027531  
2021.06.17  
13:46:52 +05'30'